



Republic of the Philippines  
DEPARTMENT OF PUBLIC WORKS AND HIGHWAYS  
**CENTRAL OFFICE**  
Manila

**Metro Manila Flood Management Project**  
**1st RAP Monitoring Report for the Vitas Pumping Station**  
**10 January 2022**

## **I. BACKGROUND**

In April 2021, the World Bank approved the revised Resettlement Action Plan (RAP) for the Informal Settler families (ISFs) affected by rehabilitation of the Vitas pumping station under the Metro Manila Flood Management Project. The revised RAP covers 229 ISFs, 88 of which would be relocated to the resettlement site in San Jose del Monte, Bulacan developed by the Social Housing and Finance Corporation (SHFC) and 141 ISFs to the resettlement site in Trece Martires, Cavite developed by the National Housing Authority.

This is the first monitoring report for the implementation of the revised RAP. All details of the resettlement of the ISFs are in the RAP which has been disclosed in accordance with World Bank's OP 4.12 Involuntary Resettlement. This report needs to be read together with the revised RAP to fully understand the context and take-off point for the reporting.

## **II. RAP PROGRESS AND MONITORING**

This report covers the period since the RAP has been approved in April 2021 until the end of December 2021. It must be noted that prior to the RAP approval in April 2021, the Consultant established the RAP Implementation Team in February 2021. The RAP Implementation Team has been responsible for coordinating or conducting various relocation preparatory activities described below.

### **1. Establish LGU LIAC for sending and receiving LGUs**

Various meetings have been conducted with concerned LGUs for the establishment of a Local Inter-Agency Committee (LIAC) presenting the project overview and progress followed by the assistance requested in facilitating the issuance of an Executive Order for the said committee. A Memorandum of Understanding (MOU) for the establishment of LIAC has been provided with the LGUs for review and approval. However, since LGU Manila and Trece Martires, Cavite already established their LIAC, it was agreed that the MOU is no longer needed. For the San Jose del Monte, Bulacan, series of follow up for the approval hence, no response has been provided and rejected the coordination of the Consultant (please see attached MOU as Annex 1)

During the meeting, relocatees and relocation site information have been requested and provided by the Implementing Agency such as but not limited to the site development plan, masterlist, etc. (please see attached Annex 2 for the Summary of coordination and minutes of LIAC meetings)

- a. Manila LGU – The existing LIAC will be utilized for the project and the department to be coordinated is the Urban and Housing Office. All communications of the project's activities pertaining to relocations of the 229 ISFs will be through USO.

The RAP team conducted various meetings with LGU Manila LIAC dated May 18, May 27, and September 21, 2021; and one of the objectives was the review and approval of the following draft Memorandum of Agreements (MOA): MOA between

DPWH, DHSUD, NHA, LGU Manila and LGU Trece Martires Cavite; and MOA between LGU Manila and LGU Trece Martires Cavite, in DILG template.

The two drafted MOAs are still for review of the City Legal Department of Manila. Constant follow-ups were made to secure the comments of the LGU- Manila to the drafted MOAs.

- b. Trece Martires, Cavite – The existing LIAC will be utilized for the project and the department to be coordinated is the Housing Department.

Constant communications and meetings were conducted with the receiving LGU- Trece Martires, Cavite. The LGU already commented to the drafted MOA and sent another MOA for review by the LGU-Manila. LGU-Trece Martires requested for additional assistance in terms of infrastructures and social services to the relocation site.

Meetings will be set for the discussion of the downloading of funds to the LGU. Lastly, finalization of MOA's before the relocation of the affected ISF's.

- c. San Jose Del Monte Bulacan – There are series of meetings that have been conducted with San Jose del Monte, Bulacan however, the LGU was being resistant to the coordination. Documents required have been provided in order to get the occupancy permit that the ISFs applied for the installation of utilities.

DPWH and SHFC complied by submitting all the required documents by the LGU but the issuance of the occupancy permits is still on-hold. In addition, DPWH requested assistance from the Department of Interior and Local Government through the letter dated 30 July 2021 and 18 October 2021 to Undersecretary for Operation for an intervention to expedite the processing of permits for their utilities.

To date, the coordination with the LGU is on hold due to the incidents. Updates were gathered thru DILG and SHFC with in terms of the progress of discussion with the LGU.

## 2. Establish/strengthen GRM; establish and capacitate Public Complaints Unit

Last 1 September 2021, Orientation for the Public Complain Unit (PCU) under the Grievance Redress Mechanism with DPWH-UPMO, DPWH-SRS, MMDA, LGUs (Trece Martires Cavite, San Jose Del Monte Bulacan, Manila City and Malabon City), DOHWA has been conducted. It was explained that the GRM/PCU will be handled by the established LIAC and all concerns during the implementation of the project will be handled by the assigned GRM Focal Person. Levels of grievances have been discussed depending on the severity of the issues on who takes actions. (please see attached Annex 3 Summary of the orientation and the Minutes of the Orientation)

LGUs assigned their GRM focal person under the LIAC and local help desks were established under the office of the housing office. Contact numbers to be used will be the number of the housing office as well.

## 3. Appoint/deploy Community Contact person

Although there are two relocation sites, there is only one appointed community contact person as she is the president of the people's organization BV8/AGOM along Vitas ISFs. All coordination in relation to the consultations with the ISFs, lacking data and KSA requirements is through her.

#### 4. Memorandum of Agreements (MOA)

Originally, MOA with the Key Shelter Agencies has been made between DPWH, DSHUD, NHA/SHFC, Manila LGU and Trece Martires Cavite/San Jose Del Monte Bulacan however, during the coordination with Trece Martires Cavite, another MOA has been provided in DILG template for only sending and receiving LGU. The said two MOAs need to be finalized prior to the relocation of the ISFs.

- a. MOA KSA-LGU – between DPWH, DSHUD, NHA/SHFC, Manila LGU and Trece Martires Cavite/San Jose Del Monte Bulacan (please see attached MOA as Annex 4)

The MOA includes the completion of housing units with individual connection for light and water, and basic social infrastructures (schools, daycare center, community police post, and livelihood training center) hence, the review of the said MOA is still on-going.

- b. MOA sending and receiving LGU (in DILG template) – between Manila LGU and Trece Martires, Cavite/San Jose Del Monte, Bulacan (please see attached MOA as Annex 5)

This MOA was provided by LGU Trece Martires, Cavite in the DILG template. This includes the details of assistance that will be provided by the sending LGU to the receiving LGU. The said MOA is still under review of LGU Manila and the Consultant keeps following up for finalization. Once the LGU Manila will forward their comments, a RRAP workshop will be conducted with both sending and receiving LGU to come up with the agreement of both parties.

#### 5. Social Preparation

As part of the project social safeguards, a series of coordination and consultations begun in September 2021 to get the views, opinions, issues, and concerns of PAFs about their resettlement.

For the 88 ISFs under the SHFC, the list has been forwarded to NHA for pre-qualification and allocation of units while waiting for their final decision if they will transfer their relocation site. Among the 88 ISFs, 20 ISFs attended the social preparation consultation meeting and 35 ISFs attended the NHA relocation site visit for their decision of willingness to be transferred (*Please see part IV below for the background*). The remaining ISFs that have yet to attend will conduct another round of consultation meetings and site visits to finalize the list that NHA will pre-qualify. However, those that are still willing to wait for the SHFC and LGU negotiation to bring back the relocation that has been taken over by the Samahan ng Walang Bahay (SAWABA) will still be coordinated accordingly.

The transition allowance and other financial assistance will be provided before their relocation once the MOA between DPWH-LGU and DPWH-LBP will be finalized

During the meeting, issues and concerns on the Timeline of the actual relocation, utilities installation in the relocation site, location of the relocation site and restoration of the SHFC relocation site have been raised. However, it was explained that the MOA between the KSA, LGU and DPWH is still for review and relocation will be taken place as soon as possible. Utilities application will be provided by DPWH as part of the financial assistance. Allocated amount per ISFs will be downloaded to Trece Martires Cavite for processing, DPWH is still coordinating with the LGU of San Jose Del Monte Bulacan for the approval of the application for utilities. DPWH already requested the assistance of DILG to expedite the application; and Site visit will be conducted on December 20, 2021, to allow the ISFs check the site and decide if they are willing to

transfer or not. (Please see Annex 6 for the summary of social preparation meetings and the minutes of the meetings)

### **III. TEMPORARY RELOCATION OF VITAS PAFs DURING TYPHOON FABIAN**

Most of the ISFs houses have been damaged that endanger their lives during the typhoon Fabian last July 24 – 25, 2021. However, the DPWH-North Manila District Engineering Office constructed a temporary shelter at the river bank through their Contractor, for their safety while waiting for their relocations. (please see attached photos of houses damaged by typhoon and the temporary shelter as Annex 7).

### **IV. Takeover of the SHFC Relocation Site in San Jose del Monte, Bulacan**

On November 27, 2021 SAWABA took over the relocation site of 1500 units which includes the 88 units allocated for this project. Security guards and community organizers have been held as hostages and got their personal belongings. A meeting between the Undersecretaries of DPWH and DILG have been organized but were not pushed through due to the non-availability of the two-top management; however, DILG Resettlement Governance (RG) Project Management Office was instructed by DILG to provide appropriate action and coordination to LGU San Jose del Monte Bulacan to resolve the issue. DILG RG sent a letter to Philippine National Police (PNP) Regional Office 3 requesting the assistance on the incident as allegedly, San Jose del Monte Bulacan Police Force was accountable for the said hostage incident.

A Technical Working Group (TWG) has been created to discussed the issues and concerns. During the meeting with SHFC, NHA, DILG, DPWH, and WB, a representative from DILG-RG informed the reason of San Jose Del Monte Bulacan for not issuing the occupancy permit for the application of utilities is that the relocation site is located in a danger zone. RAP Team coordinated with the Environmental Team to prepare a hazard assessment of the relocation site and found out that (please see attached Annex 8 Hazard Assessment of the Relocation Site in San Jose Del Monte Bulacan):

- The relocation site is very far from the Valley Fault System. This active faultline is approximately 13.2km from the Relocation Site;
- The relocation site is within an area that is not susceptible to flooding. In addition, a small part of the relocation site that is traversed to the river is the only area that is prone to flood; and
- The entire area of the relocation site is not susceptible to landslides. This area with low to gentle slopes and lacking tension cracks has a low landslide susceptibility rate.

SHFC is currently negotiating with San Jose del Monte Bulacan to provide housing areas in the said locality to vacate the relocation site for the BV8 members. The SAWABA will be provided relocation sites in different areas and pay the SHFC amortization monthly. As of the writing of this report, reclaiming the SHFC resettlement site remains vague. As such, DPWH requested NHA if they can accommodate the 88 PAFs of SHFC to which the former agreed. The NHA has since been offered as an alternative resettlement site to the PAFs should they be willing to avail themselves of it. DPWH is working with NHA for consultations and site tripping to help the 88 PAFs make a decision if they wish to move to NHA and give up their allocation in SHFC. So far, PAFs reactions are mixed with some wishing to move to NHA while others are ambivalent or would really wish to remain as SHFC beneficiaries. DPWH will continue to work with the conduct consultations and site tripping with the rest of the 88 beneficiaries. DPWH will also continue to assist PAFs who have signified their decision to move to NHA in fulfilling the requirements for relocation. The Project hopes that as the PAFs are slowly and regularly being relocated, everyone will follow suit. However, the Project may

need to come up with other options/incentives in case there would be PAFs who will remain steadfast in their choice with SHFC.

In addition to the above, it must be noted that the SHFC relocation site has a proposal of acquiring some portion by the Department of Transportation as their access for their railway project hence, complete details are still not disclosed.

With this development, SHFC relocation site is no longer available and cannot be considered for relocation at this point because even if it will be reclaimed, safety and security, provision of basic services from the LGU and acceptance from the host community are the major problems that the 88 ISFs will encounter.

## **V. UPDATES ON THE TRANSFER TO THE NHA SITE OF THE 141 PAFS**

For the 141 ISFs to be relocated under the NHA, there are 38 ISFs already pre-qualified still living in Vitas, and 103 ISFs who lack data have been provided to complete the assessment process. The 103 ISFs still need to be verified if they are still living along Vitas. Barangay assistance has been sought for confirmation and will take action to locate the qualified beneficiaries. Media (television, newspaper, social media etc.) will be used to communicate with these ISFs.

Site tripping with these ISFs together with the 53 ISFs under the SHFC to be transferred under the NHA will be conducted on January 13 – 15, 2022. But for the 38 ISFs pre-qualified, requirements from NHA will be provided and assignment will be conducted right after the tripping for the NHA to complete the units including the installation of utilities. The target relocation for the 1<sup>st</sup> batch will be this 1<sup>st</sup> quarter of 2022. For the remaining ISFs, the Team is still following the pre-qualification process with the NHA.

## **VI. LIVELIHOOD PROGRAMS**

Livelihood programs for the PAFs have yet to be implemented. Coordination with the concerned government agencies and LGU departments for the implementation of LRIP such as TESDA, DSWD, DTI, and LGU PESO has been conducted by the Consultant in relation to the approved RAP confirming the data presented is still the same.

During the discussion with NHA last December 20, 2021 site tripping, the agencies already have an existing livelihood program present in the relocation site. For Trece Martires relocation site, NHA has provided livelihood programs to their relocatees that can be tapped by the Project. The livelihood skills trainings conducted are as follows:

- Door Mat Making
- Dishwashing Liquid Making
- Perfume Making
- Fabric Conditioner Making
- Hilot Wellness

Furthermore, NHA is also in partnership with Coca-Cola Star Program whose main objective is to provide orientation to Program beneficiaries on Business Management and Financial Literacy for sari-sari store owners and carinderia owners (food vending) among others on how to handle their finances.

The abovementioned livelihood skills trainings are conducted annually, but NHA could conduct twice or more than their required number of events based on the interest of the program beneficiaries. Also, in relation to the pandemic, the skills training is being conducted online. This mode of training may pose challenges to PAFs who usually prefer face-to-face interactions and may not have sufficient technological support. The Project will work with the

NHA and LGU Trece Martires to ensure PAFs' access to these trainings.

Lastly, the Program beneficiaries after completing the required hours of their chosen skills training, NHA will refer them for job placement in coordination with PESO-Trece.

It must be noted that the above deviate from the revised Vitas RAP. COVID 19 virus appeared in 2020 and most of the government programs were changed to be resilient to the current situation such as the skills training from TESDA. According to LGU PESO, they haven't conducted any training during this pandemic as TESDA has not provided or downloaded programs/projects. LGU PESO only provides job employment through referrals to the company coordinated to their office. DPWH considered the existing NHA programs not to disregard the LRIP of the approved RAP but to adjust to the situation. DPWH is comparing the LRIP of the approved RAP and the existing NHA program to know what is applicable to the current situation, the likelihood that the LRIP will be successful, and the realistic LRIP that this business will be patronized and enjoy by the people. For the conduct of social preparation related to the LRIP regarding Preparatory Support Social preparation, community mobilization, and values formation will be done at this level, the Project included it in the previous social preparation by laying down all their entitlements related to LRIP and conducting a survey of their current job (2021) as most of them are already unemployed. The Project is working on it to deliver what has been indicated in the approved RAP while considering existing, new, and innovative approach.

## **VII. BUDGET REQUIREMENTS**

### **FUNDS FOR RAP IMPLEMENTATION**

Funds for the implementation of the RAP is at Fifty-Eight Million Seven Hundred Twenty-One Thousand Six Hundred Forty-One and 28/100 Pesos Only (**PhP 58,721,641.28**) including 10% Project Administration Cost and 15% Contingency. (Please see attached Annex 9 for the complete details of the revised RAP budget. Please note that the budget for the connection of utilities is different for the 141 ISFs of NHA since it is already included in the cost of the unit, they will only pay for the application amounting to Php 770. On the other hand, the budget for the utilities of the 88 ISFs under SHFC is still Php 7,500 and Php 3,300 for power connection and water connection, respectively.)

The variance from the original RAP budget is for the resettlement cost under the MOA with SHFC and NHA, and the utilities under the NHA relocation site. The implementing agency will no longer enter into MOA with the SHFC and NHA on the payment of the relocation site as the two (2) key shelter agencies will still ask the beneficiaries to pay for their amortization. It was agreed on at the meeting on December 2, 2021 (please see attached minutes of the meeting as Annex 4).

During the site visit and NHA orientation on December 20, 2021, at NHA relocation site, Trece Martires, Cavite, NHA representatives discussed that the utility application for the 141 ISFs are included in the amortization package hence, Php 770.00 will be requested to each beneficiary for the payment of certification and other documentation processes, and only that amount will be provided by the implementing agency.

If the 88 ISFs to be relocated under the SHFC accept the NHA relocation site, the budget for the utilities will also be lesser than the budgeted amount.

## VIII. PROCEDURES FOR FLOW OF FUNDS

### 1. Funds to be downloaded to LGU

A Memorandum of Agreement (MOA) has been prepared between the implementing agency and the concerned LGU for the downloading of funds, specifically the budget for the CLRDP amounting to Php 15,000,000.00 each LGU. The MOA has been forwarded to LGU Trece Martires, Cavite for review and comments, and is currently still following up for finalization.

If the relocation of the 88 ISFs under the SHFC in San Jose del Monte, Bulacan will be transferred to the NHA relocation site in Trece Martires, Cavite, it was agreed that the total amount of Php 30,000,000.00 be provided to Trece Martires, Cavite. The said amount is allocated for ISFs training skills program, basic services in the relocation site, and livelihood program. (Please see attached draft MOA between DPWH and LGU as Annex 10)

### 2. Funds as Financial Assistance to the ISFs

For the provision of financial assistance to the 229 ISFs, the funds allocated will be directly given to them as suggested by the Bank, however, during the meeting with DPWH-Finance Service on October 1, 2021 and December 2, 2021, it was suggested that the funds be also downloaded to the sending LGU.

The concern has been relayed to the Bank but downloading to each ISFs has been agreed upon. The Consultant coordinated the disbursement with the Landbank of the Philippines (LBP) on the process and requirements to each ISFs. LBP will disburse the allocated amount to each ISFs through the 4Ps program of the government and those who do not have an account, need to apply for the national ID in order to open an account without an initial deposit.

Also, DPWH will enter another MOA between LBP for the disbursement of financial assistance to each ISFs. The MOA has been provided to DPWH-FS for review and approval however, each role and responsibilities are specified below (Please see attached MOA as Annex 11):

DPWH shall:

- a. Provide the final masterlist of ISFs to be relocated, identified, and validated which are qualified to receive the financial assistance;
- b. Collect the information of ISFs that will be used as the basis for the certified masterlist that will be transmitted to the LBP; the list should show which beneficiaries have mobile phone numbers and those without;
- c. Forward to the LBP the certified payroll documents following the agreed format/s amounts to be disbursed per ISFs;
- d. Through its designated signatories, authorize and instruct LBP to deposit the corresponding amounts as indicated in the payroll documents directly into the ISFs LBP bank account;
- e. Receive the details of liquidation documents and handle reconciliation of the list of beneficiaries with successful and unsuccessful Credits;
- f. Communicate to the beneficiaries that they will be receiving financial assistance;

LBP shall:

- a. Act as DPWH's administrator in the electronic payment and distribution of financial assistance to the ISFs affected by the Metro Manila Flood Management Project.

- b. Receive from DPWH the payroll documents in the agreed format and with complete data, including the amounts to be credited to beneficiaries.
- c. Execute the crediting of the financial assistance of the ISFs into their respective LBP Bank account based on the instruction and payroll documents provided by DPWH within twenty-four (24) hours from receipt of payroll documents; and
- d. Submit to DPWH a report on the disbursement of the program funds, including a summary of Unsuccessful Credits.

## **IX. IMPLEMENTATION SCHEDULE (ACTUAL VERSUS TARGET)**

(Please see attached implementation schedule as Annex 12)

## **X. COMMUNICATIONS FOR THE IMPLEMENTATION OF THE RAP**

(Please see attached list of communications as Annex 13)

## **XI. NEXT STEPS**

1. Conduct relocation site visits for the remaining ISFs that have yet visited the relocation site in the 2<sup>nd</sup> week of January 2022.
2. Conduct verification of the ISFs if they are still living in the affected barangay and those that no longer live in the area, 3 consecutive newspaper, and television lists will be conducted to disseminate that they are still qualified for the relocation site.
3. Conduct consultation with the 88 ISFs under SHFC if they accept the NHA relocation site or not in the 3<sup>rd</sup> week of January 2022.
4. Finalization of all MOA by January 2022.
5. Conduct RRAP workshop by the 1<sup>st</sup> week of February 2022.
6. Disbursement of financial assistance by the 3<sup>rd</sup> week of February 2022
7. Submission of the final list of ISFs to NHA those that are still living in the affected barangay for unit allocation, completion of units, and installation of utilities.
8. Relocation by 1<sup>st</sup> quarter of 2022
9. Implementation of LRIP and skills training by 2<sup>nd</sup> quarter of 2022

## **XII. ANNEXES**

Annex 1 – Memorandum of Understanding for the Establish LGU HRTs/LIAC for sending and receiving LGUs

Annex 2 – Summary of Coordination and Minutes of the LIAC Meeting

Annex 3 – Summary of the orientation and Minutes of Orientation for the Public Complain Unit under the GRM of the project

Annex 4 – MOA between DPWH, DSHUD, NHA/SHFC, Manila and Trece Martires Cavite/San Jose Del Monte, Bulacan

Annex 5 – MOA between Manila and Trece Martires Cavite/San Jose Del Monte, Bulacan

Annex 6 – Summary and minutes of the social preparation meetings



Annex 7 – Photos of Typhoon Fabian and the Temporary Shelter

Annex 8 – Hazard Assessment of the Relocation Site in San Jose Del Monte Bulacan

Annex 9 – Revised RAP budget

Annex 10 - Draft MOA between DPWH and LGU for the downloading of Funds

Annex 11 - Draft MOA between DPWH and LBP for the disbursement of ISFs financial assistance

Annex 12 – Implementation Schedule

Annex 13 – Communications